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JLDS
c. Steptoe
10-31-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

"INFORMATION DISCLOSURE STATEMENT"

APPLICANT: Tony Albrecht et al. Docket No. P01,0121

SERIAL NO: 09/824,086 GROUP ART: 2881

DATE FILED: April 2, 2001 EXAMINER:

INVENTION: **"OPTICALLY PUMPED, SURFACE-EMITTING
SEMICONDUCTOR LASER DEVICE AND METHOD
FOR THE MANUFACTURE THEREOF"**

Assistant Commissioner for Patents
Washington D.C. 20231

SIR:

In accordance with the provisions of 37 C.F.R. §1.56, Applicants
submit the references identified on the attached PTO-1449 form, copies are
enclosed herewith in accordance with 37 C.F.R. §1.98.

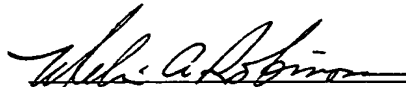
As of the mailing date of this Information Disclosure Statement, the
first Office Action on the merits has not been received in connection with this
application, and therefore this Information Disclosure Statement is in
compliance with 37 C.F.R. §1.97(b)(3), and no fee is necessary.

DISCUSSION OF RELEVANCE

Enclosed are copies of the prior art references cited in the International
Search Report for this application. Favorable consideration of the present

application in view of the enclosed art is hereby requested.

Respectfully submitted,

 (#31,870)

Melvin A. Robinson
Schiff Hardin & Waite
Patent Department
6600 Sears Tower
Chicago, Illinois 60606
Telephone: 312-258-5785
CUSTOMER NO. 26574
ATTORNEY FOR APPLICANT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the
United States Postal Service as First Class Mail in an envelope addressed to:

The Commissioner for Patents
Washington, D.C. 20231

on October 3, 2001.

